

# ICA Professional Postgraduate Diploma in Governance, Risk and Compliance (GRC) Syllabus

## Introductory Module

- Unit 1: The Professional Postgraduate Diploma in Governance, Risk and Compliance
- Unit 2: Application of critical thinking
- Unit 3: Research and assessment

## Module 1: Building and Leading a World Class GRC Team

- Unit 1: Characteristics of effective GRC leadership
- Unit 2: Designing the GRC leadership team for an organisation
- Unit 3: Monitoring for success

## Module 2: Strategic Risk Management for Leaders

- Unit 1: Strategic decisions: taking, making and managing risks
- Unit 2: The strategic role of risk management
- Unit 3: Identifying and assessing prudential and conduct risks

## Module 3: The Search for an Ethical Corporate Culture

- Unit 1: Culture and Corporate Responsibility
- Unit 2: Understanding the Behavioural Challenge
- Unit 3: Tools for Behavioural Compliance

## Module 4: Regulatory Risk Identification and 'Hot Topics'

- Unit 1: Identifying and managing emerging regulatory risks
- Unit 2: Understanding the requirements for a compliance culture
- Unit 3: Managing prudential regulatory requirements

## Module 5: The Impact of Technology on GRC

- Unit 1: Gathering evidence for decision making
- Unit 2: Innovations in management information
- Unit 3: Client on-boarding and monitoring
- Unit 4: The future of technology and RegTech

## Module 6: Changing expectations: governance, conduct and outcomes.

- Unit 1: Good governance
- Unit 2: Conduct, culture and ethics
- Unit 3: Outcomes

## Module 7: Leading Change in GRC

- Unit 1: Progressive compliance leadership
- Unit 2: Leading change
- Unit 3: Leadership of the compliance function

## Module 8: Financial Crime Risk Identification and 'Hot Topics'

- Unit 1: Countermeasures – combating fraud and other financial crimes
- Unit 2: Safeguarding organisations from internal threats
- Unit 3: Managing the risk of cybercrime threats