ICA Professional Postgraduate Diploma in Financial Crime Compliance Syllabus

Standards, Research and Assessment (webinar)

- Introduction to the course and National Occupational Standards (NOS)
- Application of Critical Thinking
- Research and Assessment

Financial Crime Compliance

- Managing Financial Crime Risks
- Fraud Compliance Issues
- FCC Systems and Controls

Ethics, Corporate Governance and Responsibility

- Understanding the Place of Ethics within a Business Environment
- The Benefits of Ethical Behavior
- From Understanding to Action

The Effective Use of Information Technology in Financial Crime Compliance (FCC)

- Gathering Evidence for Decision Making
- Innovations in the Reception an Reporting of Management Information
- Client On-boarding and Monitoring

Cyber Security: Threats to the Organisation

- Technicalities, Typologies and Criminal Types
- Managing the Risks of Cybercrime Threats
- Regulatory Trends and Compliance Hot Topics

AML/FCC in the Context of Organised Crime and Corruption

- A Critical Analysis from the UN Perspective: UNTOC/UNCAC/UNODC
- Corruption as the `Internal' Enemy of the AML and FCC Systems
- Reporting and Disclosure Obligations Imposed on Financial Institutions (incl. Asset Recovery)

Fraud and Internal Threats

- The Management of Internal Threats: Behavioral, Cultural and Psychological Factors
- Developing a Culture and System to Manage Internal Risk in an Organisation
- Managing and Responding to a Major Internal Fraud

De-risking - Linking Risk Assessment to Business Strategy

- De Risking: Managing Risks Effectively, the Global Context and Regulatory Expectations
- The Role of Senior Management in De Risking and Assessment of AML Risk
- Implementing an Appropriate Process Linking AML and FCC Risk Assessment to Business Strategy

Sanctions: Perspectives from the Regulator

- Economic Sanctions in Practice
- Emerging Trends in Sanctions Risks and Compliance
- Identifying and Understanding 'Real' Sanctions Risks

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